

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of claims:

1. (currently amended) A method for creating an incentive for an entity to limit its accumulation of debt issued by a company ~~managing concentration of debt~~, the method comprising:

issuing a debt in the form of a debt instrument;

determining a debt concentration threshold;

associating a condition with the debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when the entity holds more of the debt ~~instruments~~ than the debt concentration threshold; and

changing the at least one parameter of the debt instrument upon the occurrence of the condition.

~~providing a company board of directors with authority to implement the condition and change a parameter of the debt instrument as the board of directors deems appropriate.~~

2. (currently amended) A method according to claim 1, further comprising the company issuing a new ~~the~~ debt instrument with the associated condition.

3. Cancelled.

4. Cancelled.

5. (currently amended) A method according to claim 1, further comprising monitoring holdings ~~concentration~~ of debt instruments by entities.
6. (original) A method according to claim 1, further comprising changing the debt concentration threshold.
7. (original) A method according to claim 1, wherein the entity is an investor.
8. (original) A method according to claim 7, wherein the investor is an individual investor.
9. (original) A method according to claim 7, wherein the investor is an institutional investor.
10. (original) A method according to claim 1, wherein the entity is an affiliated group of investors.
11. (original) A method according to claim 1, wherein the entity is a group of investors acting in concert.
12. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.
13. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.
14. (original) A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.
15. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.
16. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

17. (original) A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.

Claims 18 - 120 have been Cancelled.

121. (new) A method according to claim 1, wherein the debt concentration threshold is a percentage.

122. (new) A method according to claim 1, wherein the debt concentration threshold is a number of shares.

123. (new) A method according to claim 1, wherein the debt concentration threshold is a dollar amount.